# § 692.93 Who is eligible to participate in the GAP Program?

- (a) States. States that meet the requirements in §§ 692.94 and 692.100 are eligible to receive payments under the GAP Program.
- (b) Degree-granting institutions of higher education. Degree-granting institutions of higher education that meet the requirements in §692.101 are eligible to participate in a partnership under the GAP Program.
- (c) Early information and intervention, mentoring, or outreach programs. Early information and intervention, mentoring, or outreach programs that meet the requirements in §692.101 are eligible to participate in a partnership under the GAP Program.
- (d) Philanthropic organizations or private corporations. Philanthropic organizations or private corporations that meet the requirements in §692.101 are eligible to participate in a partnership under the GAP Program.
- (e) *Students*. Students who meet the requirements of §692.120 are eligible to receive assistance or services from a partnership under the GAP Program.

(Authority: 20 U.S.C. 1070c-3a)

#### § 692.94 What requirements must a State satisfy, as the administrator of a partnership, to receive GAP Program funds?

To receive GAP Program funds for any fiscal year—

- (a) A State must—
- (1) Participate in the LEAP Program;
- (2) Establish a State partnership with—
- (i) At least—
- (A) One public degree-granting institution of higher education that is located in the State; and
- (B) One private degree-granting institution of higher education, if at least one exists in the State that may be eligible to participate in the State's LEAP Program under subpart A of this part;
- (ii) New or existing early information and intervention, mentoring, or outreach programs located in the State; and
- (iii) At least one philanthropic organization located in, or that provides funding in, the State, or private cor-

poration located in, or that does business in, the State;

- (3) Meet the requirements in §692.100; and
- (4) Have a program under this subpart that satisfies the requirements in §692.21(a), (e), (f), (g), and (j).
- (b) A State may provide an early information and intervention, mentoring, or outreach program under paragraph (a)(2)(ii) of this section.

(Authority: 20 U.S.C. 1070c-3a)

## How Does a State Apply to Participate in GAP?

# § 692.100 What requirements must a State meet to receive an allotment under this program?

For a State to receive an allotment under the GAP Program, the State agency that administers the State's LEAP Program under subpart A of this part must—

- (a) Submit an application on behalf of a partnership in accordance with the provisions in §692.20 at such time, in such manner, and containing such information as the Secretary may require including—
- (1) A description of—
- (i) The State's plan for using the Federal funds allotted under this subpart and the non-Federal matching funds; and
- (ii) The methods by which matching funds will be paid;
- (2) An assurance that the State will provide matching funds in accordance with §692.113;
- (3) An assurance that the State will use Federal GAP funds to supplement, and not supplant, Federal and State funds available for carrying out the activities under Title IV of the HEA;
- (4) An assurance that early information and intervention, mentoring, or outreach programs exist within the State or that there is a plan to make these programs widely available;
- (5) A description of the organizational structure that the State has in place to administer the program, including a description of how the State will compile information on degree completion of students receiving grants under this subpart;
- (6) A description of the steps the State will take to ensure, to the extent

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practicable, that students who receive a LEAP Grant under GAP persist to degree completion;

- (7) An assurance that the State has a method in place, such as acceptance of the automatic zero expected family contribution under section 479(c) of the HEA, to identify eligible students and award LEAP Grants under GAP to such students:
- (8) An assurance that the State will provide notification to eligible students that grants under this subpart are LEAP Grants and are funded by the Federal Government and the State, and, where applicable, other contributing partners.
- (b) Serve as the primary administrative unit for the partnership;
- (c) Provide or coordinate non-Federal share funds, and coordinate activities among partners;
- (d) Encourage each institution of higher education in the State that participates in the State's LEAP Program under subpart A of this part to participate in the partnership;
- (e) Make determinations and early notifications of assistance;
- (f) Ensure that the non-Federal funds used as matching funds represent dollars that are in excess of the total dollars that a State spent for need-based grants, scholarships, and work-study assistance for fiscal year 1999, including the State funds reported for the programs under this part;
- (g) Provide an assurance that, for the fiscal year prior to the fiscal year for which the State is requesting Federal funds, the amount the State expended from non-Federal sources per student, or the aggregate amount the State expended, for all the authorized activities in §692.111 will be no less than the amount the State expended from non-Federal sources per student, or in the aggregate, for those activities for the second fiscal year prior to the fiscal year for which the State is requesting Federal funds; and
- (h) Provide for reports to the Secretary that are necessary to carry out the Secretary's functions under the GAP Program.

(Approved by the Office of Management and Budget under control number 1845–NEW7)

(Authority: 20 U.S.C. 1070c-3a)

# § 692.101 What requirements must be met by a State partnership?

- (a) State. A State that is receiving an allotment under this subpart must meet the requirements under §§ 692.94 and 692.100.
- (b) Degree-granting institution of higher education. A degree-granting institution of higher education that is in a partnership under this subpart—
- (1) Must participate in the State's LEAP Program under subpart A of this part:
- (2) Must recruit and admit participating eligible students and provide additional institutional grant aid to participating students as agreed to with the State agency;
- (3) Must provide support services to students who receive LEAP Grants under GAP and are enrolled at the institution:
- (4) Must assist the State in the identification of eligible students and the dissemination of early notifications of assistance as agreed to with the State agency; and
- (5) May provide funding or services for early information and intervention, mentoring, or outreach programs.
- (c) Early information and intervention, mentoring, or outreach program. An early information and intervention, mentoring, or outreach program that is in a partnership under this subpart shall provide direct services, support, and information to participating students.
- (d) Philanthropic organization or private corporation. A philanthropic organization or private corporation in a partnership under this subpart shall provide non-Federal funds for LEAP Grants under GAP for participating students or provide funds or support for early information and intervention, mentoring, or outreach programs.

(Approved by the Office of Management and Budget under control number 1845–NEW7)

(Authority: 20 U.S.C. 1070c-3a)

WHAT IS THE AMOUNT OF ASSISTANCE AND HOW MAY IT BE USED?

## § 692.110 How does the Secretary allot funds to the States?

(a)(1) The Secretary allots to each State participating in the GAP Program an amount of the funds available for the GAP Program based on the